SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Novara Paula			2. Date of Event Re Statement (Month/I 10/11/2022		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GLADSTONE CAPITAL CORP</u> [ GLAD ]							
(Last)	(First)	(Middle)			4. Relationship of Reporting Person(s (Check all applicable)		) to Issuer		5. If Amendment, Date of Original Filed (Month/Day/Year)			
1521 WESTBRANCH DRIVE SUITE 100					X	Director Officer (give title below)	10% Ow Other (s below)			6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) MCLEAN (City)	VA (State)	22102 (Zip)				Delow)	Delow)			-	y One Reporting Person y More than One Reporting	
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)						amount of Securities 3. Ownership Reficially Owned (Instr. 4) Form: Direct (D) of Indirect (I) (Instr.		ect (D) or				
Common Stock						1,554	D					
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)       2. Date Exercisable Expiration Date (Month/Day/Year)			ate		e and Amount of Securities ative Security (Instr. 4)	S Underlyin	Conv or Ex	ersion ercise	(D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
Explanation of Re			Date Exercisable	Expiration Date	Title		Amour or Numbo of Sha	Secu	ative	Indirect (I) (Instr. 5)		

/s/ Michael LiCalsi, attorney-in-

<u>el LiCalsi, attorney-in-</u><u>10/18/2022</u>

\*\* Signature of Reporting Person

fact

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.