### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

### FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) February 5, 2021 (February 4, 2021 )

## **Gladstone Capital Corporation**

(Exact name of Registrant as Specified in Charter)

Maryland (State or other jurisdiction of incorporation) 814-00237 (Commission File Number) 54-2040781 (IRS Employer Identification No.)

22102 (Zip Code)

Registrant's telephone number, including area code: (703) 287-5800

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the Registrant under any of the following provisions:

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

1521 Westbranch Drive, Suite 100 McLean, Virginia

(Address of principal executive offices)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

D Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol	Name of each exchange on which registered
Common Stock, \$0.001 par value per share	GLAD	Nasdaq Global Select Market
5.375% Notes due 2024, \$25.00 par value per note	GLADL	Nasdaq Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

#### Item 5.07. Submission of Matters to a Vote of Security Holders.

On February 4, 2021, Gladstone Capital Corporation (the "Company") held its 2021 Annual Meeting of Stockholders (the "Annual Meeting"). There were present at the Annual Meeting in person or by proxy, stockholders holding an aggregate of 21,495,773 shares of the Company's common stock.

The matters considered and voted on by the stockholders at the annual meeting and the vote of the stockholders were as follows:

1. The following individuals were elected as directors, Terry Lee Brubaker, Caren D. Merrick and Walter H. Wilkinson, Jr., to serve until the 2024 Annual Meeting of Stockholders and until their successors are elected and qualified, by the following vote:

			Broker
	For	Withheld	Non-Votes
Terry Lee Brubaker	9,688,468	450,760	11,356,545
Caren D. Merrick	9,775,909	363,319	11,356,545
Walter H. Wilkinson, Jr.	9,343,546	795,682	11,356,545

2. The ratification of the selection by the Audit Committee of our Board of Directors of PricewaterhouseCoopers LLP as our independent registered public accounting firm for our fiscal year ending September 30, 2021, by the following vote.

For	Against	Abstain	Broker Non-Votes
21,034,487	322,434	138,852	0

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# GLADSTONE CAPITAL CORPORATION (Registrant)

By: /s/ Nicole Schaltenbrand

Nicole Schaltenbrand Chief Financial Officer and Treasurer

February 5, 2021